



VERARBEITUNG ANLEGEN

8570 Weinfelden  
Bankplatz 1  
Postfach

Telefon 0848 111 444  
Fax 0848 111 445  
info@tkb.ch  
www.tkb.ch

Epiq Bankruptcy Solutions, LLC  
Attn. Lehman Brothers Holdings Inc.  
Claims Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10017  
USA

Datum 10. Mai 2016  
Direktwahl +41 71 626 69 61 Monika Konrad  
Direktfax +41 71 626 64 05  
E-Mail monika.konrad@tkb.ch

Dear Sir or Madam

- |   |   |
|---|---|
| <input type="checkbox"/> Wie besprochen       | <input type="checkbox"/> Bitte weiterleiten         |
| <input checked="" type="checkbox"/> Für Sie   | <input type="checkbox"/> Bitte zurücksenden         |
| <input type="checkbox"/> An Sie zurück        | <input type="checkbox"/> Bitte antworten            |
| <input type="checkbox"/> Bitte unterschreiben | <input type="checkbox"/> Bitte anrufen (Tel.      ) |
| <input type="checkbox"/> Bitte erledigen      | <input type="checkbox"/>                            |
| <input type="checkbox"/> Bitte ergänzen       | <input type="checkbox"/>                            |

Please find attached one form regarding „Transfer of Claim“. Claim Nr. 59233 for ISIN XS0324890440 for the amount of CHF 100'000. Transferor is UBS AG, Switzerland, Transferee is Michael Brotbeck, Switzerland.

Please amend your records accordingly.

We are awaiting your confirmation in the next days.

We feel confident that attached documentation will fix all future payments for our customer.

Freundliche Grüsse  
Thurgauer Kantonalbank

*M. Konrad*

Monika Konrad  
Senior Spezialistin Verarbeitung Anlegen

FILED / RECEIVED

MAY 11 2016

EPIQ BANKRUPTCY SOLUTIONS, LLC

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: (JMP)

Court ID (Court Use Only) \_\_\_\_\_

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Michael Brotbeck	<u>Name of Transferor:</u> UBS AG
Notices to Transferee should be sent to:  Michael Brotbeck Ottenbergstrasse 18 8572 Berg / TG Switzerland	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above): Thurgauer Kantonalbank Bankplatz 1 CH-8570 Weinfelden	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u>  CHF 100'000.00	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

  
Michael Brotbeck  
Ottenbergstrasse 18  
8572 Berg / TG  
Switzerland

Date:

  
09/15/2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

TOC425 UBS0287 TKB 100K 2750605

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Securities NV	XS0324890440	LBS NV	LBH Inc.	CHF 100'000.00 out of CHF 52'547'000.00

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

**UBS AG, ("Transferor")** hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Michael Brotbeck  
Ottenbergstrasse 18  
8572 Berg / TG  
Switzerland

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

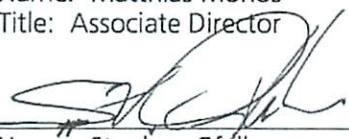
Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated April 04, 2016.


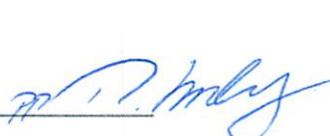
UBS AG  
Transferor

By:   
Name: Matthias Mohos  
Title: Associate Director

By:   
Name: Stephan Gfeller  
Title: Associate Director

ACKNOWLEDGED BY:

Thurgauer Kantonalbank

By:    
Reto Jans Thomas Imhof

www.dhl.com



Please €

EXPRESS WORLDWIDE **DOX** **DHL**

2016-05-10 WS 3 17:00 / 750-1411

From: Thurgauer Kantonalbank  
Schlegel  
Dunantstrasse 17

8570 WEINFELDEN  
Switzerland

Origin:  
BSL

Contact: Ph : 41716266532

To: Epiq Bankruptcy Solutions LLC  
Attn Lehman Brothers Holdings Ltd.

Claims Processing  
757 Third Avenue, 3rd Floor  
10017 NEW YORK NY

Contact:  
Ph : 0

United States Of America

**LEJ2 US-ZYP-TSS**

Day Time

Ref:WZV-RSG

Pcs/Sht Weight Piece  
0.5 kgs 1/1

Contents DOCUMENTS

FILED / RECEIVED

MAY 11 2016

EPIQ SYSTEMS



WAYBILL 30 9003 2982



Account No. 16010889  
Ref code  
Date:  
0.50 / 0.50 KG  
Pcs / Sht Weight  
0.50  
Cont  
date

EXPRESS WORLDWIDE  
10017 NEW YORK, United States  
**LEJ2 US-ZYP-TSS**

**DOX** **DHL**

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